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# Multiple electrode ( $N_e \geq 1$ ) support in the DFT+NEGF code TranSIESTA

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## Introduction

Density functional theory based non-equilibrium Green function methods (DFT+NEGF) are by now standard for calculation of transport properties in nanostructures. In the TranSIESTA code [1, 2] the NEGF implementation is currently scaling with  $N^3$  which limits the number of orbitals used,  $N$  being number of orbitals. In this work we present a re-implementation of TranSIESTA which scales linearly in system size (order- $N$ ) and allowing for multiple electrodes ( $N_e \geq 1$ ) in a flexible manner [3]. In conjunction with TranSIESTA we report on an optimised `tbtrans` code which enables, 1)  $N_e \geq 1$  electrodes, 2) interpolation of Hamiltonian between bias', 3) projection of molecular Hamiltonians, 4) custom tight-binding and 5) phonon transport.

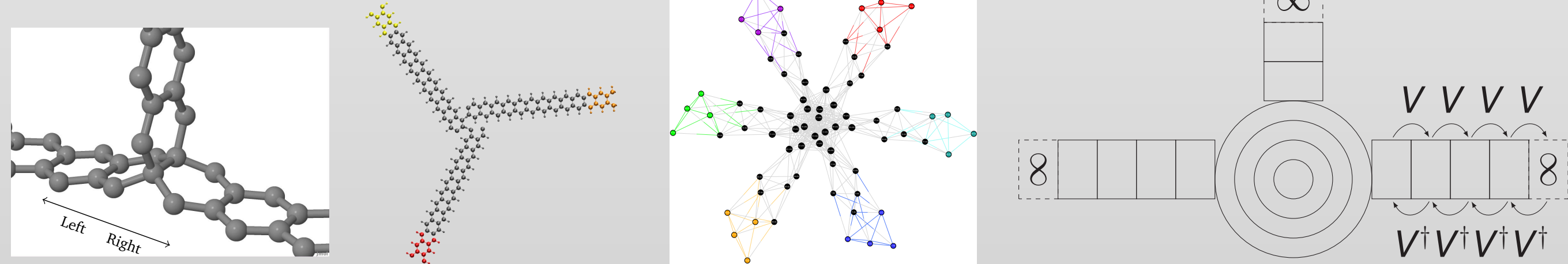
## Efficiency of improved TranSIESTA DFT-NEGF method

The non-equilibrium Green function equations

$$\rho_{\text{eq}} \propto -\frac{1}{\pi} \iint_{\text{BZ}} d\epsilon d\mathbf{k} \left[ \mathbf{G}_{\mathbf{k}}(\epsilon) - \mathbf{G}_{\mathbf{k}}^\dagger(\epsilon) \right] n_F(\epsilon)$$

$$\rho_{\text{neq}} \propto -\frac{1}{\pi} \iint_{\text{BZ}} d\epsilon d\mathbf{k} \left\{ \left[ \mathbf{G}_{\mathbf{k}}(\epsilon) - \mathbf{G}_{\mathbf{k}}^\dagger(\epsilon) \right] n_{F,\epsilon_1}(\epsilon) - \mathbf{G}_{\mathbf{k}}(\epsilon) \sum_{\epsilon' \neq \epsilon} \Gamma_{\epsilon,\epsilon'}(\epsilon) [n_{F,\epsilon'}(\epsilon) - n_{F,\epsilon_1}(\epsilon)] \mathbf{G}_{\mathbf{k}}^\dagger(\epsilon) \right\}$$

- Different inversion algorithms, 1) Block-tri-diagonal (BTD), 2) MUMPS, 3) LAPACK
- Efficient pivoting to obtain good scalability on BTD inversion
- Example of possible geometries



- The different available algorithms provides a very versatile NEGF code
- Hybrid parallelisation to reduce memory requirements for very large calculations

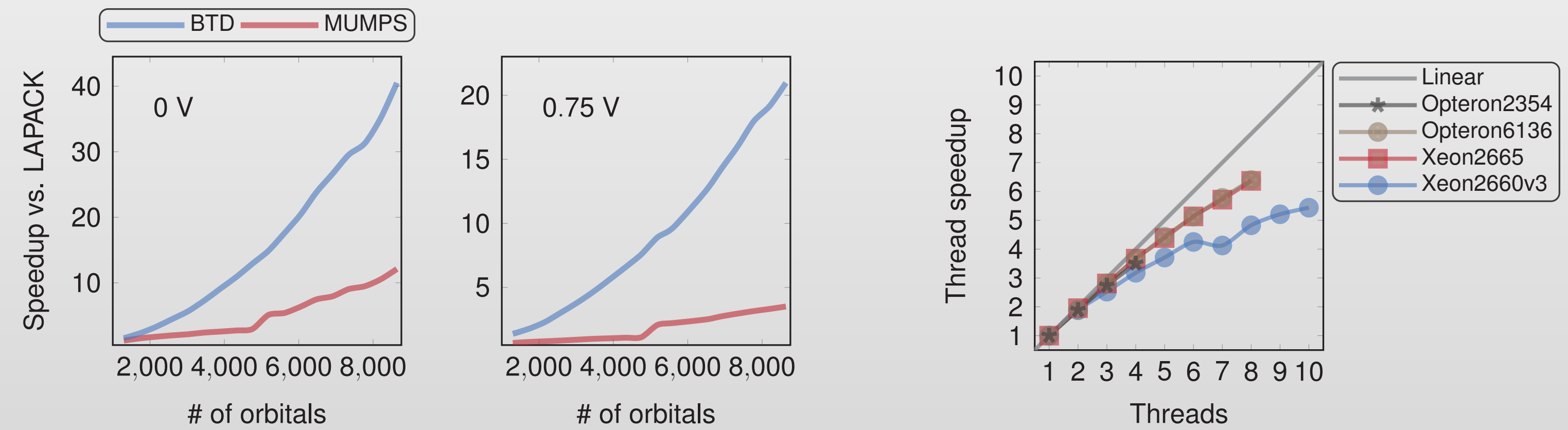
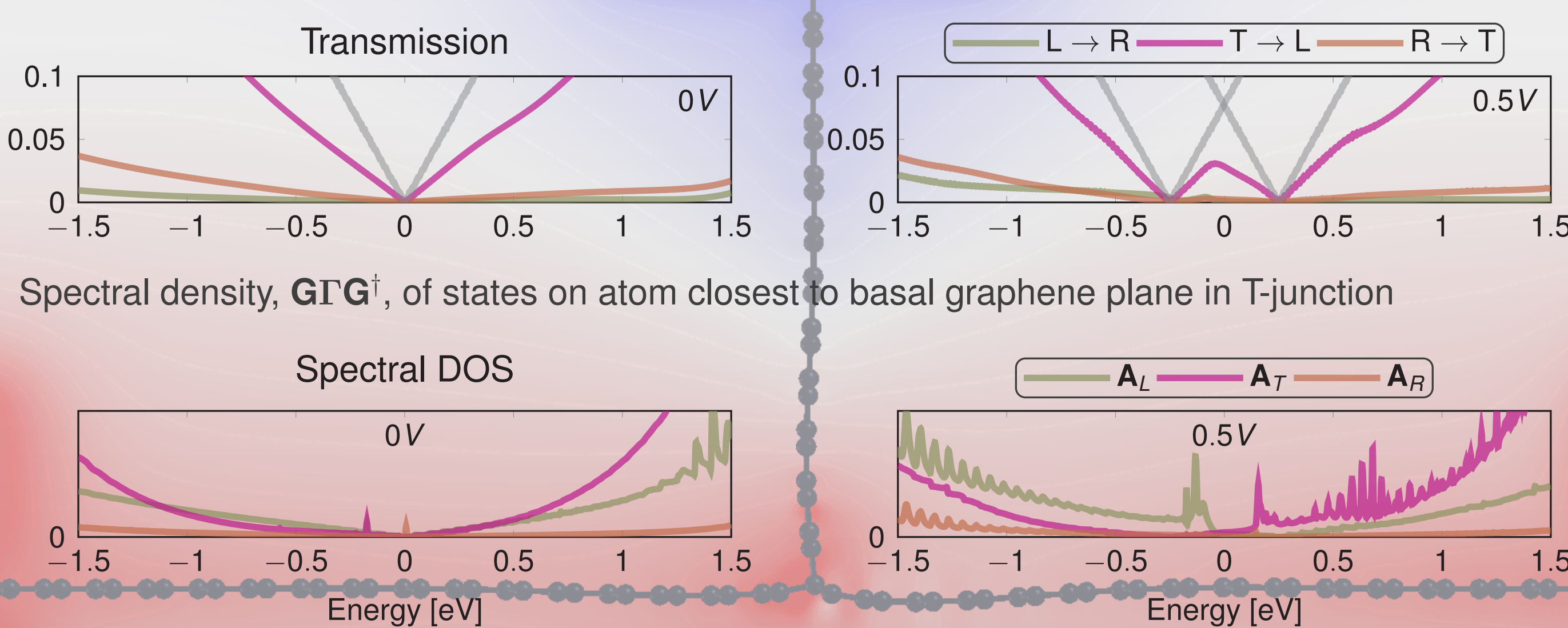


Figure: Pristine graphene calculation of differing lengths. An impressive speedup of  $> 40$  is found for simple equilibrium calculations while  $> 20$  for non-equilibrium calculations. The memory use is but a fraction of the full matrices which also enables much larger calculations.

Figure: Performance gain when using threading for a very large system of 12,000 orbitals.

## Example — NEGF calculation of graphene T-junction

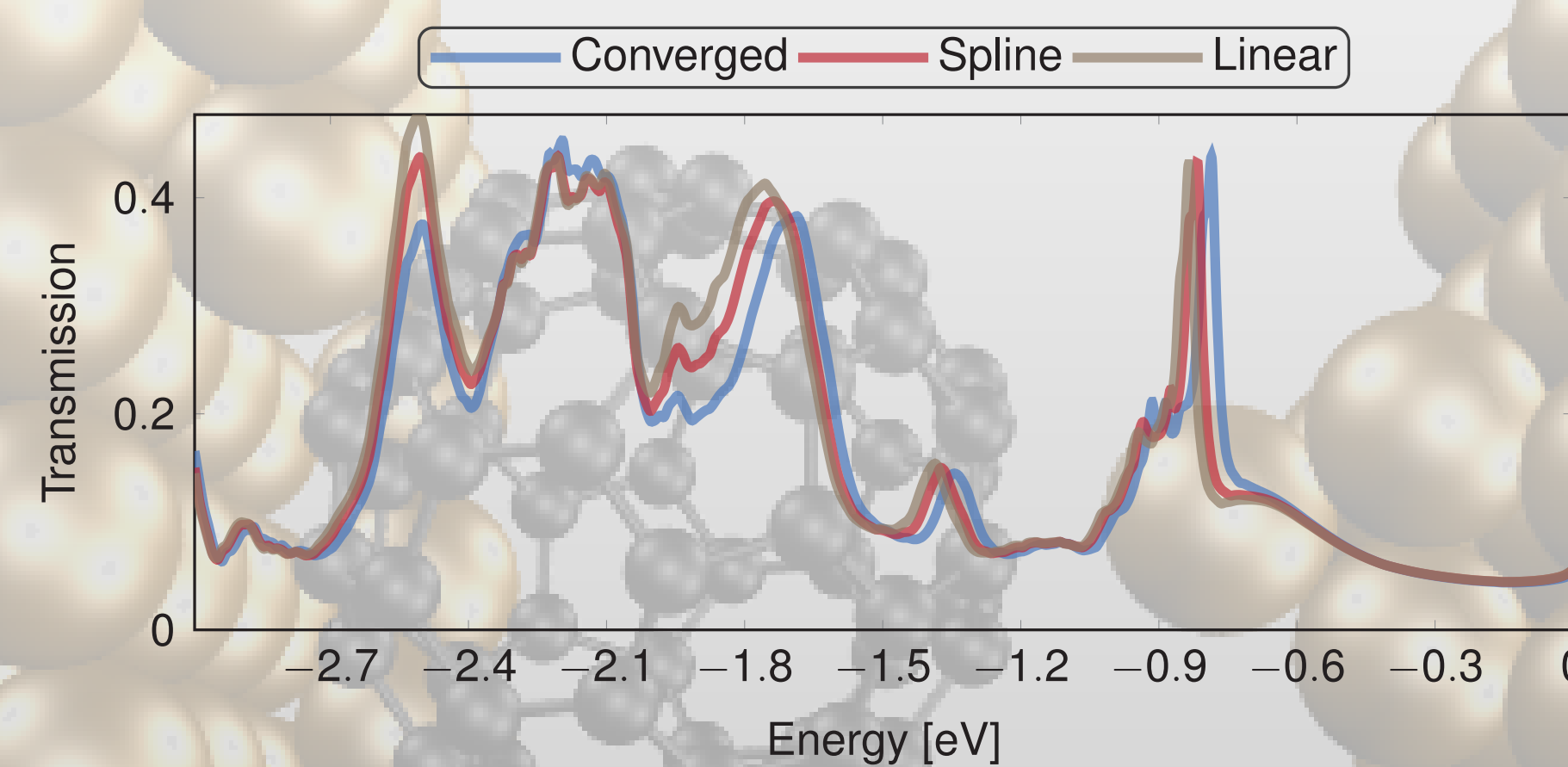
- NEGF calculation of 3 (Left-Right-Top) electrode, periodic in the arm-chair direction.
- Compared against pristine graphene transmission spectrum



- Versatile `tbtrans` enables DOS from Green function, spectral function and bulk electrode DOS, everything is orbital resolved

## Example — Interpolation of bias calculations

- Interpolation of the transmission function based on distinct bias calculations.
- Makes  $I$ - $V$  curves feasible with a good compromise between throughput and precision.



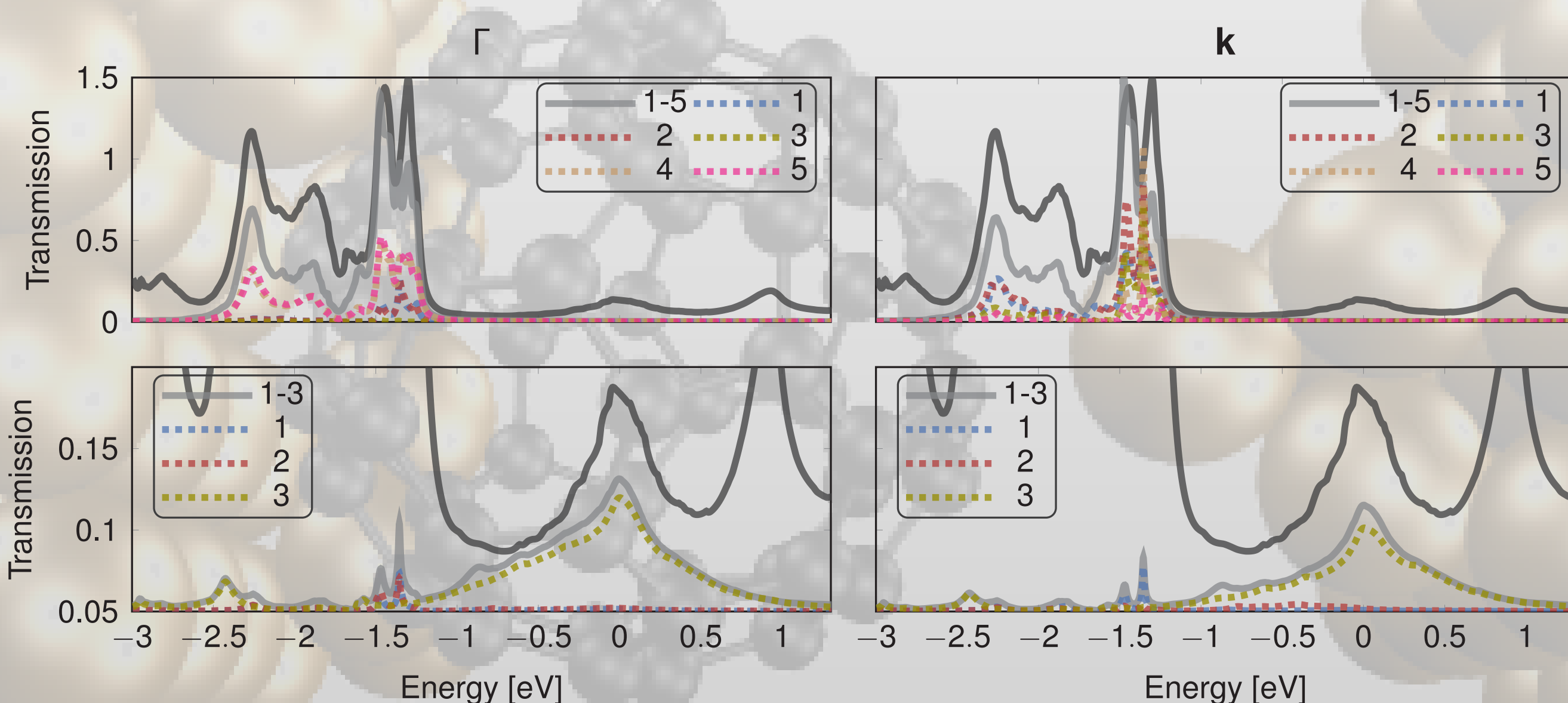
- Interpolation using 5 NEGF calculations with steps  $\{-2, -1, 0, 1, 2\}$  V interpolated to  $-1.5$  V
- Spline interpolation is far superior than linear interpolation

## Example — Molecular projection transmission

- Full spectroscopic analysis using molecular (Löwdin) projected Hamiltonians to attribute transport to molecular levels

$$\mathbf{H}_{\{M\}} \mathbf{S}_{\{M\}}^{-1/2} |D_i\rangle = \epsilon_i \mathbf{S}_{\{M\}}^{1/2} |D_i\rangle, \quad \{I\}, \{J\} \in \{M\}$$

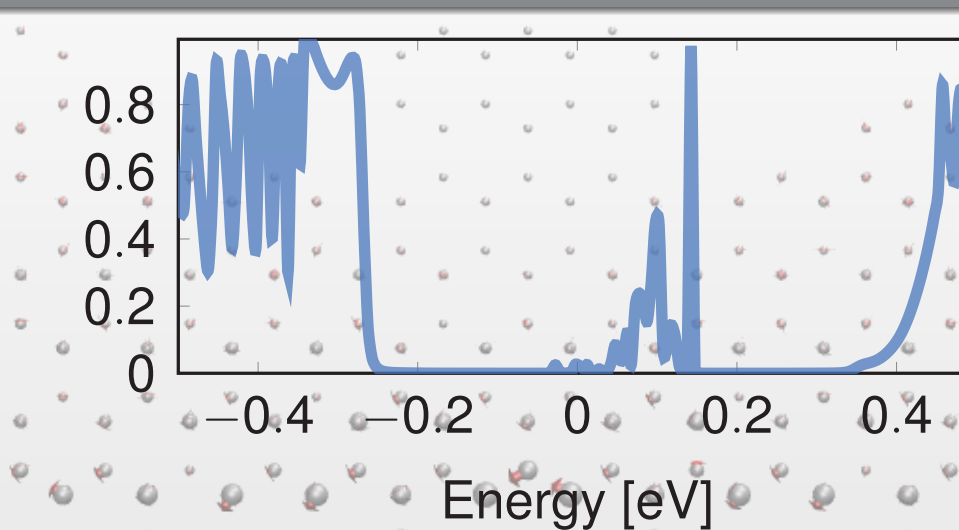
$$T_{\{J\},\{I\}} = \text{Tr} \left[ \mathbf{G} \sum_j |D_j\rangle \langle D_j| \Gamma_L \sum_j |D_j\rangle \langle D_j| \mathbf{G}^\dagger \sum_i |D_i\rangle \langle D_i| \Gamma_R \sum_i |D_i\rangle \langle D_i| \right]$$



- $\mathbf{k}$  resolved projections retains better Lorentzian width  $\Rightarrow$  dispersion in Brillouin zone

## Example — Tight-binding calculations using `tbtrans`

- Transmission of bow-tie graphene junction
- Flexible transport calculator, DFT and custom tight-binding models
- $N_e$ -electrode available in highly optimised code
- Bond-currents for orthogonal basis sets



github.com/zerothi/sids

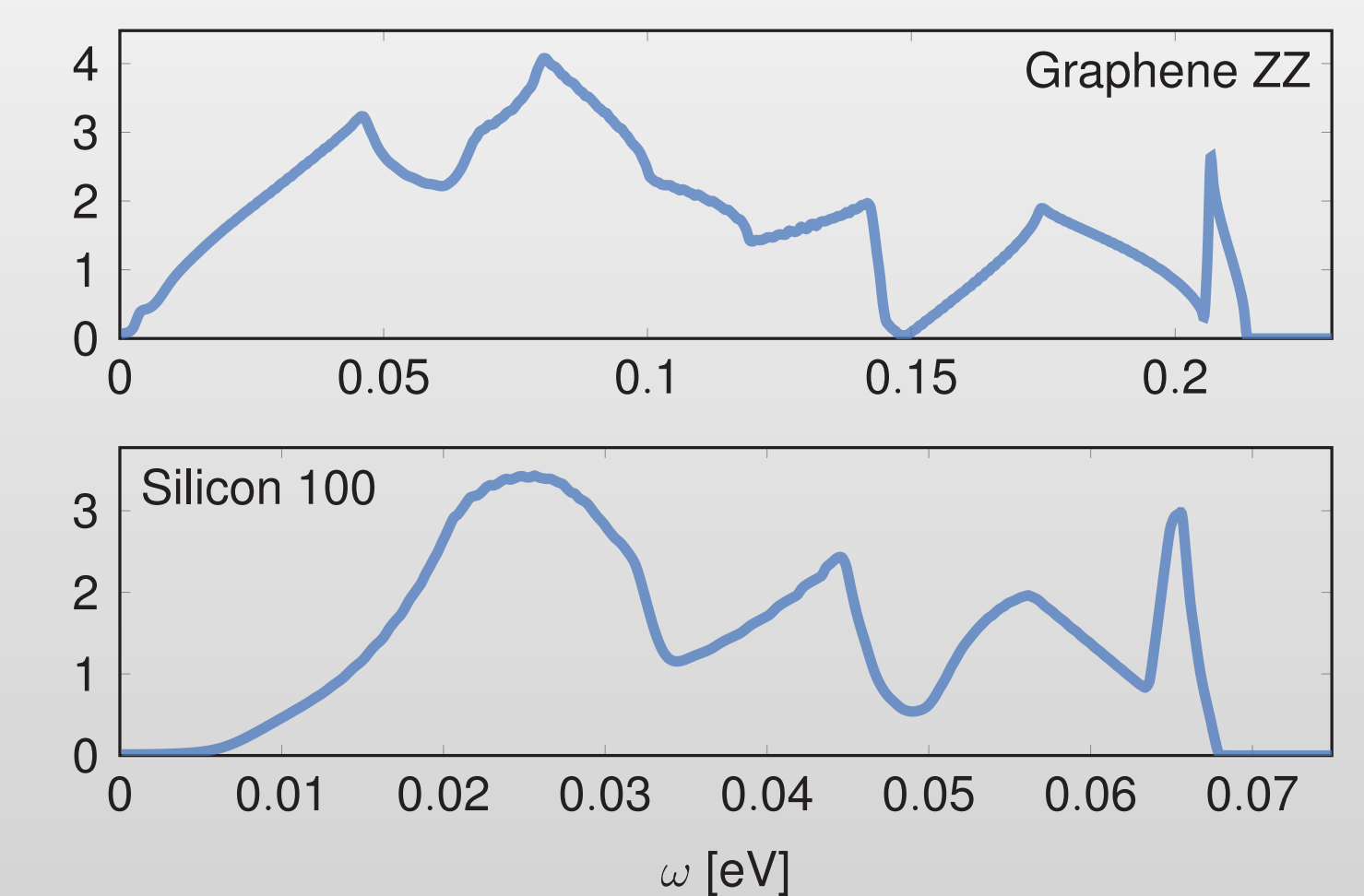
```
import sys, sys.geom as gdef, numpy as np
sq3h = 3.0, sq3v = 0.5
alat = 1.42
C = sys.Atom(6, R=alat*sq3h*2.01)
gr = gdef.graphene(alat, C, square=True)
# Create a 100x100x4=40000 atom graphene flake
flake = gr.repeat(100, axis=0, tile(100, axis=1))
HS = sys.TightBinding(flake)
# Create neighbor distances, next-nearest
# = 0, 1, 1, 2*sq3h*0.1
dR = np.array([0, 1, 1, 1, 2*sq3h*0.1]) * alat
for idx, idxs in flake.iter_block():
    for ia in idxs:
        idxa, idxb = flake.close(ia, dRdR, idx=idxs)
        HS[ia, idxa[0]] = (2, 4)
        HS[ia, idxa[1]] = (-1, 0)
        HS[ia, idxa[2]] = (-1, 0)
        HS.write('DEVICE.nc')
```

## Example — Phonon transport using `phtrans`

- Phonon transport
- Full  $N_e$  electrode support with all capabilities of `tbtrans`
- Graphene phonon transport along zig-zag direction
- Bulk Silicon phonon transport along 100 direction
- Reads GULP [4] output to create Hessian (dynamical) matrix, easily extendable to other formats

$$\mathbf{G}_{\mathbf{q}}(\omega) = [\omega^2 \mathbf{I} - \mathbf{D}_{\mathbf{q}} - \sum_{\epsilon} \Sigma_{\epsilon,\mathbf{q}}(\omega)]^{-1}$$

$$\Xi_{\mathbf{q}\epsilon\epsilon'}(\omega) = \text{Tr}[\mathbf{G}_{\mathbf{q}}(\omega) \Gamma_{\epsilon,\mathbf{q}} \mathbf{G}_{\mathbf{q}}^\dagger(\omega) \Gamma_{\epsilon',\mathbf{q}}]$$



## Conclusion

- Full  $N_e \geq 1$  NEGF calculations in TranSIESTA
- Huge performance improvement for higher throughput and larger systems
- Huge memory reduction due to implemented sparse algorithms
- Versatile transport calculator `tbtrans/phtrans`
  - Interpolating bias calculations
  - Molecular projected transmission spectrum
  - Implicit tight-binding calculations using simple scripting language (Python)
  - Bond-currents in orthogonal basis sets

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